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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission **5**

Patent Number	<b>6,826,344</b>
Issue Date	<b>November 30, 2004</b>
First Named Inventor	<b>Shimada et al.</b>
Art Unit	<b>2872</b>
Examiner Name	<b>Euncha P. Cherry</b>
Attorney Docket No.	<b>MTS-3270US</b>

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): > <b>Request for Certificate of Correction</b> > <b>Form 1050 (in duplicate)</b> > <b>return postcard</b>
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Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	<b>RatnerPrestia</b>		
Signature	<i>Daniel N. Calder</i>		
Printed Name	<b>Daniel N. Calder</b>		
Date	<b>March 21, 2005</b>	Reg. No.	<b>27,424</b>

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Fran Petrillo</i>		
Typed or Printed Name	<b>Fran Petrillo</b>	Date	<b>March 21, 2005</b>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Number: 6,826,344  
Issued: Nov. 30, 2004  
Name of Patentee: Shimada et al.  
Title of Invention: OPTICAL ELEMENT AND METHOD OF FABRICATION THEREOF

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT**  
**FOR PTO MISTAKE (37 C.F.R. § 1.322(a))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention: Decision and Certificate of Correction  
Branch of the Patent Issue Division

1. Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.
2. Correction of the Official Letters Patent is respectfully requested in view of the following text which appears correctly in the application file:

Title Page, (56) References Cited, FOREIGN PATENT DOCUMENTS  
On Reference EP 0 964 305, delete "11/1994" and insert --12/1999--.

Title Page, (56) References Cited, OTHER PUBLICATIONS  
On Reference Najafi, S.I., et al., "Sol-Gel Glass Waveguide and Grating on Silicon"  
Delete "Lightware" and insert --Lightwave--.

Column 12, line 12, claim 1, of the Letters Patent, before the word "material"  
insert --a--, as shown on page 2, line 8, of the Preliminary Amendment  
filed on June 29, 2004.

Column 12, line 12, claim 1, of the Letters Patent, after the word "than"  
insert --that--, as shown on page 2, line 8, of the Preliminary Amendment  
filed on June 29, 2004.

Column 12, line 14, claim 1, of the Letter Patent, after the word "wherein"  
delete ":" and insert --;--, as shown on page 2, line 10, of the Preliminary  
Amendment filed on June 29, 2004.

## 3. Please send the Certificate to:

Name: Daniel N. Calder  
P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

Name of Assignee: Matsushita Electric Industrial Co., Ltd.

Assignment Recorded on: August 22, 2001

Reel: 012113

Frame: 0792

Respectfully submitted,

*Daniel N. Calder*

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Daniel N. Calder, Reg. No. 27,424  
Attorney for Applicants

DNC/ds/fp

Enclosure: Form PTO-1050 (in duplicate)

Dated: March 21, 2005

P.O. Box 980  
Valley Forge, PA 19482  
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The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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March 21, 2005

*Frank Petruillo*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO: **US 6,826,344 B2**

DATED: **November 30, 2004**

INVENTORS: **SHIMADA, ET AL.**

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**Title Page, (56) References Cited**

Reference EP 0 964 305, delete "11/1994" and insert --12/1999--

**Title Page, (56) References Cited**

Reference Najafi, S.I., et al., "Sol-Gel Glass Waveguide and Grating On Silicon", delete "Lightware" and insert --Lightwave--

Column 12, line 12, claim 1, before "material" insert --a--

Column 12, line 12, claim 1, after "than" insert --that--

Column 12, line 14, claim 1, after "wherein" delete ":" and insert --;--

Mailing Address of Sender:

Patent No.

6,826,344 B2

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